



Practitioner's Docket No. 49979

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Taya et al.

Patentee:

Serial No.: 09/618,424

Patent No.:

Filed on: July 18, 2000

Issued on:

Title: METHOD FOR DETECTING ACETYLTRANSFERASE AND DEACETYLASE
ACTIVITIES AND METHOD FOR SCREENING INHIBITORS OR ENHANCERS OF
THESE ENZYMES

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(b))--SMALL BUSINESS CONCERN**

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the
concern identified below:

Name of Small Business Concern Medical & Biological Laboratories Co., Ltd.
Address of Small Business Concern Sumitomo-Shoji Marunouchi Bldg. 5F, 5-10, Marunouchi 3-
chome, Naka-ku, Nagoya-shi, Aichi 460-0002 JAPAN

I hereby state that the above identified small business concern qualifies as a small business concern, as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to, and remain with, the small business concern identified above, with regard to the invention described in

- ☐ the specification filed herewith, with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

NOTE: Separate statements are required from each named person, concern or organization having rights to the

invention as to their status as small entities. (37 CFR 1.27)

Each such person, concern or organization having any rights in the invention is listed below:

☒ No such person, concern, or organization exists.

☐ Each such person, concern or organization is listed below.

Name _____

Address _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

Name _____

Address _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b))

(check the following item, if desired)

NOTE: The following verification statement need not be made in accordance with the rules published on October 10, 1997, 62 Fed. Reg. 52131, effective December 1, 1997.

NOTE: "The presentation to the Office (whether by signing, filing, submitting, or later advocating) of any paper by a party, whether a practitioner or non-practitioner, constitutes a certification under § 10.18(b) of this chapter. Violations of § 10.18(b)(2) of this chapter by a party, whether a practitioner or non-practitioner, may result in the imposition of sanctions under § 10.18(c) of this chapter. Any practitioner violating § 10.18(b) may also be subject to disciplinary action. See §§ 10.18(d) and 10.23(c)(15)." 37 CFR 1.4(d)(2).

☐ I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing Sachiko Suno

Title of Person if Other Than Owner President

Address of Person Signing Nagoya, Japan

Suno, Sachiko
SIGNATURE

Date Dec. 1, 2000

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
49979

In Re Application Of: TAYA et al.

Serial No.
09/618,424

Filing Date
July 18, 2000

Examiner
not yet assigned

Group Art Unit
1645

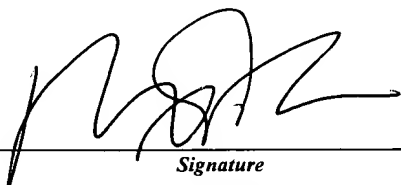
Invention: **METHOD FOR DETECTING ACETYLTRANSFERASE AND DEACETYLASE ACTIVITIES AND
METHOD FOR SCREENING INHIBITORS OR ENHANCERS OF THESE ENZYMES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$987.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 04-1105
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 04-1105
A duplicate copy of this sheet is enclosed.



Signature

Dated: *Dec. 20, 2000*

Peter F. Corless (Reg. No. 33,860)
EDWARDS & ANGELL LLP
130 Water Street
Boston, MA 02109-4280

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Laura M. McGuire

Typed or Printed Name of Person Mailing Correspondence

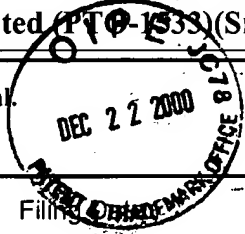
CC:

Section 3

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
49979

In Re Application Of: TAYA et al.



Serial No.
09/618,424

Filing Date
July 18, 2000

Examiner
not yet assigned

Group Art Unit
1645

Invention: **METHOD FOR DETECTING ACETYLTRANSFERASE AND DEACETYLASE ACTIVITIES AND
METHOD FOR SCREENING INHIBITORS OR ENHANCERS OF THESE ENZYMES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

August 22, 2000

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.

- ☒ 1 verified small entity declaration(s)

☒ is/are attached.

☐ was/were filed on _____

12/27/2000 MBERHE 00000014 09618424

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:203	117.00 OP
04 FC:202	120.00 OP
05 FC:204	135.00 OP
06 FC:216	195.00 OP

- ☐ A separate request for refund.

- ☒ Other (list):

Assignment Cover Sheet
Assignment

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO 153) (Small Entity)**

Docket No.
49979

In Re Application Of: TAYA et al.

Serial No.
09/618,424

Filing Date
July 18, 2000

Examiner
not yet assigned

Group Art Unit
1645

Invention: **METHOD FOR DETECTING ACETYLTRANSFERASE AND DEACETYLASE ACTIVITIES AND
METHOD FOR SCREENING INHIBITORS OR ENHANCERS OF THESE ENZYMES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	\$355.00
<input type="checkbox"/> Design application filing fee	
<input checked="" type="checkbox"/> Total number of independent claims = 3	\$120.00
<input checked="" type="checkbox"/> Total number of claims = 13	\$117.00
<input checked="" type="checkbox"/> Multiple dependent claims	\$135.00
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	\$65.00
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor	
<input type="checkbox"/> Fee for processing an application filed with a non-English language specification	
<input type="checkbox"/> Fee for processing and retention of application	
Total completion of application fees	\$792.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☒ Two months ☐ Three months ☐ Four months ☐ Five months

from: October 22, 2000 until: December 22, 2000
Date Date

Total time extension fees \$195.00

Total fees due \$987.00